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Carmen Matos Michael

**Name of Applicant, Assignee or  
Registered Representative**

James Matis Michael  
Signature

Signature

12/5/03

Date of Signature

Our Case No.: 8627/314

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dusan Pavcnik et al.

Examiner:

Serial No.: 10/662,216

Group Art Unit No.:

Filing Date: September 12, 2003

For: RETRIEVABLE FILTER

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

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No.	Date	Name
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In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

In accordance with 37 C.F.R. § 1.97(e)(1), Applicant(s) hereby certify that each item of the information disclosed in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of the present statement, as evidenced by the date of the enclosed search report.

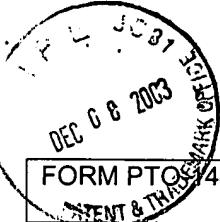
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



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FORM PTOS 449 PATENT & TRADEMARK OFFICE	SERIAL NO. 10/662,216	CASE NO. 8627/314
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>		FILING DATE September 12, 2003
(use several sheets if necessary)		APPLICANT(S): Dusan Pavcnik et al.

**REFERENCE DESIGNATION**      **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,506,205	01/14/03	Goldberg et al.		
	A2	6,416,530	07/09/02	DeVries et al.		
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	A9	6,315,793	11/13/01	Bokros et al.		
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	A11	6,280,467	08/28/01	Leonhardt		
	A12	6,273,901	08/14/01	Witcher et al.		
	A13	6,273,900	08/14/01	Nott et al.		
	A14	6,267,777	07/31/01	Bosma et al.		
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	A19	6,241,763	06/05/01	Drasler et al.		
	A20	6,241,746	06/05/01	Bosma et al.		
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

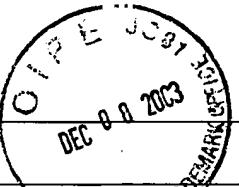
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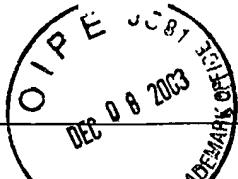
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## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
A110	5,147,379	09/15/92	Sabbaghian et al.		
A111	5,133,733	07/28/92	Rasmussen et al.		
A112	5,108,418	04/28/92	Lefebvre		
A113	5,104,399	04/14/92	Lazarus		
A114	5,059,205	10/22/91	El-Nounou et al.		
A115	5,041,126	08/20/91	Gianturco		
A116	5,035,706	07/30/91	Gianturco et al		
A117	4,969,891	11/13/90	Gewertz		
A118	4,954,126	09/04/90	Wallsten		
A119	4,873,978	10/17/89	Ginsburg		
A120	4,832,055	05/23/89	Palestrant		
A121	4,817,600	04/04/89	Herms et al.		
A122	4,800,882	01/31/89	Gianturco		
A123	4,793,348	12/27/88	Palma		
A124	4,781,177	11/01/88	Lebigot		
A125	4,727,873	03/01/88	Mobin-Uddin		
A126	4,688,553	08/25/87	Metals		
A127	4,655,771	04/07/87	Wallsten		
A128	4,643,184	02/17/87	Mobin-Uddin		
A129	4,619,246	10/28/86	Molgaard-Nielsen et al.		
A130	4,580,568	04/08/86	Gianturco		
A131	4,494,531	01/22/85	Gianturco		
A132	4,425,908	01/17/84	Simon		
A133	4,218,793	08/26/03	Reul et al.		
A134	3,952,747	04/27/76	Kimmell Jr.		
A135	3,810,367	05/15/74	Peterson		
A136	3,654,773	04/11/72	White		
A137	3,540,431	11/17/70	Mobin-Uddin		
A138	US 2002/0032414	03/14/02	Ragheb et al.		
A139	US 2001/0041928	11/15/01	Pavcnik et al.		
A140	US 2001/0039450	11/08/01	Pavcnik et al.		
A141	US 2001/0023358	09/20/01	Tsukernik		
A142	US/2001/0011187	08/02/01	Pavcnik et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER	DATE CONSIDERED
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FORM PTO-1449	SERIAL NO. 10/662,216	CASE NO. 8627/314
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE September 12, 2003	GROUP ART UNIT Unassigned
APPLICANT(S): Dusan Pavcnik et al.		

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
A143	DE 4030998 A1	04/18/92	Germany		Yes Abstract
A144	DE 3417738 A1	11/14/84	Germany		Yes Abstract
A145	WO 00/66031	11/09/00	PCT		
A146	WO 98/23322	06/04/98	PCT		
A147	WO 95/09567	04/13/95	PCT		
A148	FR 2,666,980	09/26/90	France		Yes Abstract

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS	
	(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)	
A149	N. Nakagawa, <i>A Retrievable Nitinol Vena Cava Filter: Experimental and Initial Clinical Results</i> , Journal of Vascular and Interventional Radiology, May-June, 1995, pp. 507-512	
A150	Z. Y Xian et al., <i>In Vitro Evaluation of a New Temporary Venous Filter: The Spring Filter</i> , CardioVascular and Interventional Radiology, Springer-Verlag, New York (1995) Vol. 18, pp. 315-320	
A151	B. S. Kuszyk et al., <i>Subcutaneously Tethered Temoray Filter: Pathologic Effects in Swine</i> , Journal of Vascular and Interventional Radiology, November-December, 1995, pp. 895-202	
A152	C. Cope et al., <i>Temporary Use of a Bird's Nest Filter During Iliocaval Thrombolysis</i> , Radiology, 1996, pp. 765-767	
A153	G. Bovyn et al., <i>The Tempofilter; A Multicenter Study of a New Temporary Caval Filter Implantable for up to Six Weeks</i> , Annals of Vascular Surgery, Vol. II, No. 5 1997, pp. 520-528	
A154	L. D. Vos et al., <i>The Gunther Temporary Inferior Vena Cava Filter for Short-Term Protection Against Pulmonary Embolism</i> , CardioVascular and Interventional Radiology, Springer-Verlag, New York 1997, Vol. 20, pp. 91-97	
A155	H. Lorch et al., <i>In Vitro Studies of Temporary Vena Cava Filters</i> , CardioVascular and Interventional Radiology, Springer-Verlag, New York 1998, Vol. 21, pp. 146-150	
A156	M. Zwaan et al., <i>Clinical Experience with Temporary Vena Caval Filters</i> , Journal of Vascular and Interventional Radiology, 1998, Vol. 9, pp. 594-601	
A157	J. Hosaka et al., <i>In Vitro Function of an Adjustable Temporary Venous Spring Filter</i> , Academy of Radiology, September 1998, Vol. 5, No. 9, pp. 620-625	
A158	S. F. Millward et al., <i>Temporary and Retrievable Inferior Vena Cava Filters: Current Status</i> , CardioVascular and Interventional Radiology, May-June 1998, Vol. 9, No. 3, pp. 381-387	
A159	G. Lund et al., <i>A New Vena Caval Filter for Percutaneous Placement and Retrieval: Experimental Study</i> , Radiology 1984, Vol. 152, pp. 369-372	
A160	D. W. Hunter et al., <i>Retrieving the Amplatz Retrievable Vena Cava Filter</i> , CardioVascular and Interventional Radiology, Springer-Verlag New York 1987, Vol. 10, pp. 32-36	
A161	G. P. Teitelbaum et al., <i>Insertion and Recovery of a Ne Retrievable Vena Caval Filter</i> , Investigative Radiology, July 1988, Vol. 23, pp. 527-533	

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FORM PTO-1449 DEC 8 2003 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. 10/662,216	CASE NO. 8627/314
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A162	J. Neuerburg et al., <i>New Retrievable Percutaneous Vena Cava Filter: Experimental In Vitro and In Vivo Evaluation</i> , CardioVascular, Springer-Verlag New York 1993, Vol. 16, pp. 224-229	
A163	T. Irie et al., <i>Retrievable IVC Filter: Preliminary in Vitro and in Vivo Evaluation</i> , Journal of Vascular and Interventional Radiology, May-June 1995, Vol. 6, pp. 449-454	
A164	T. M. Vesely et al., <i>Preliminary Investigation of the Erie Inferior Vena Caval Filter</i> , Journal of Vascular and Interventional Radiology, July-August 1996, Vol. 7, pp. 529-535	
A165	A. Dibie et al., <i>In Vivo Evaluation of a Retrievable Vena Cava Filter - The Dibie-Musset Filter: Experimental Results</i> , CardioVascular and Interventional Radiology, Springer-Verlag, New York 1998, Vol. 21, pp. 151-157	
A166	G. S. Dorfman, <i>Percutaneous Inferior Caval Filters</i> , Radiology 1990, Vol. 174, pp. 987-992	
A167	S. Kadir, <i>Stent Placement for Caval and Tracheobronchial Stenoses</i> , Current Practice of Interventional Radiology, B. C. Decker Inc. Philadelphia, pp. 208-212.	

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